FORM PTO-1390 (REV. 12-2001) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER: TEMP2 10/018713

U.S. APPLICATION NO. (If known, see 37 CFR 1.5):

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/US99/15036	02 JUL 1999	02 JUL 1999

TITLE OF INVENTION: THERMOMETER IMPLANTS

APPLICANT(S) FOR DO/EO/US: REIFFEL, LEONARD

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. [X] This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. [ ] This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. [X] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. [ ] The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
   a. [ ] is attached hereto (required only if not communicated by the International Bureau).
   b. [ ] has been communicated by the International Bureau.
   c. [X] is not required, as the application was filed in the United States Receiving Office (RO/US).
6. [ ] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
   a. [ ] is attached hereto.
   b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
7. [ ] Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3))
   a. [ ] are attached hereto (required only if not communicated by the International Bureau).
   b. [ ] have been communicated by the International Bureau.
   c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
   d. [ ] have not been made and will not be made.
8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. [ ] An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. [ ] A FIRST preliminary amendment.
14. [ ] A SECOND or SUBSEQUENT preliminary amendment.
15. [ ] A substitute specification.
16. [ ] A change of power of attorney and/or address letter.
17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. [ ] A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. [ ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. [X] Other items or information: DATA SHEET

Rec'd PCT/PTO 19 DEC 2001

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER

21. ☒ The following fees are submitted:

	CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):		
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO **$1040.00**		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **$890.00**		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **$740.00**		
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) **$710.00**		
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) **$100.00**		
ENTER APPROPRIATE BASIC FEE AMOUNT =	$345	
Surcharge of **$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	$	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	9 - 20 =		x **$18.00**	$	
Independent claims	1 - 3 =		x **$84.00**	$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ **$280.00**	$	
TOTAL OF ABOVE CALCULATIONS =				$	
☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			+	$	
SUBTOTAL =				$	
Processing fee of **$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				$	
TOTAL NATIONAL FEE =				$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **$40.00** per property +				$	
TOTAL FEES ENCLOSED =				$ 345	
				Amount to be refunded:	$
				charged:	$

a. ☒ A check in the amount of $ 345 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of $ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

DONALD F MOYER
431 S DEARBORN 705
CHICAGO IL 60605

SIGNATURE

DONALD F MOYER
NAME

38568
REGISTRATION NUMBER

TITLE OF THE INVENTION

Thermometer Implants

BACKGROUND OF THE INVENTION

A thermometer implant - especially useful in medical diagnostic and therapeutic procedures - comprises a thermometer body containing a fluid which expands and contracts to a fluid length which indicates a target temperature at a target time and which is located in a body from where the fluid length is not visible at the target time, with the fluid length at the target time being measured outside the body.

When, for example, a cancerous tumor is treated by hyperthermia or cryotherapy it is very important to control the temperature in the tumor as well as the temperature in healthy tissue. Since existing temperature sensors are not adequate to the task, workers have long been seeking new ways to measure the temperature for such cases.

An implanted reflector which reflects a microwave signal as a function of temperature is shown by Nowogrodzki in U. S. Patent 4,138,998. An implanted element which has temperature dependent nuclear magnetic resonance properties is shown by Taicher in U. S. Patent 5,109,853.

The invention shown here is based on the discovery that small, expanding fluid thermometers can be made with properties which solve this long outstanding problem.

SUMMARY OF THE INVENTION

One form of this invention of thermometer implants comprises a thermometer body, the thermometer body enclosing a channel and a bulb, the channel being terminated by the bulb at one end, the channel and the bulb containing a fluid, the fluid expanding and contracting along the channel to a fluid length which is functionally related to a target temperature of the bulb at a target time, the thermometer body being located in a subject body from where the fluid length is not visible at the target time, and thermometer body properties and fluid properties together making possible measurement of the fluid length outside of the subject body.

Alternative forms and objects of the invention will be comprehended in the drawings and description, which will make additional equivalent forms and objects obvious hereafter to persons skilled in the art.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows a cross section of a basic form of a thermometer implant.

FIG. 2 shows a cross section of an alternate form of a thermometer implant with the channel folded and with a varying channel area.

FIG. 3 shows a cross section of another alternate form of a thermometer implant with a trigger mechanism.

FIG. 4 shows a multi-component thermometer implant in a differential thermometer configuration.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

A cross section of a basic form **10** of a thermometer implant is shown in FIG. **1**. This basic form comprises a thermometer body **15** which encloses a channel **11** terminated at one end by a bulb **12** with a fluid **13** contained in the bulb and the channel. As the temperature of the bulb increases and decreases the fluid **13** expands and contracts along the channel to a fluid length **14** which is functionally related to a target temperature of the bulb at a target time. The target time is a time when temperature data is desired, and the target temperature is the temperature of the bulb at the target time.

Dimensions of an example thermometer implant which can be implanted in living tissue - for example by using standard biopsy techniques - are: length of thermometer 20 mm; channel inside diameter 50 microns; bulb length 5 mm; bulb inside diameter 0.75 mm; bulb outside diameter 1.25 mm; thermometer outside diameter away from bulb 90 microns. A suitable fluid in a thermometer with these dimensions will expand along the channel at about 1 mm per degree Celsius. Thus, for example, if an accuracy of 0.3 Celsius degrees is required, then the fluid length must be measured to an accuracy of 0.3 mm. This sensitivity is that sought in hyperthermia treatments of cancerous tumors. Smaller and larger thermometers can be made as needed for specific applications with more or less stringent requirements for size and sensitivity.

The invention is also adapted to cases where thermometers are located in a subject body which is not living tissue. However, when the subject body is in, and alternatively intended for use in, a living human, this necessarily means that the properties of the thermometer body and the properties of the fluid must meet standards set forth in prevailing regulations. In the United States these would be the regulations of

the U. S. Food and Drug Administration. In other countries these would be regulations of the corresponding agency.

The thermometer body is located in a subject body from where the fluid length is not visible at the target time. This means that at the target time the fluid length can not be measured, to an accuracy required by an application such as that described above, using visible light. This meaning excludes the common case where a thermometer is removed from a body and the fluid length is then measured, because in this common case the fluid will expand and contract as the temperature of the bulb changes outside of the subject body and the fluid length will indicate the temperature of the bulb outside of the subject body at a time later than the target time.

Thus, the fluid length at the target time must be measured outside of the subject body, for example by projecting an image of the fluid length outside of the body at the target time. Thermometer body properties and fluid properties which together make possible measurement of the fluid length outside of the body and apparatus which is used to project an image of the fluid length outside of a subject body and is used to measure the image of the fluid length along with methods to calibrate this measurement are specified in the copending international patent application PCT/US98/27316 which is incorporated herein by reference.

Thermometer body properties and fluid properties can be chosen together to match the method and apparatus used to measure the fluid length outside of the subject body. For example, in the case of the x-ray method and apparatus shown in the above reference, resorbable lactide polymers, for example, could provide the needed thermometer body properties as could many other substances, and Iohexol, an x-ray contrast agent, for example could provide the needed properties for the fluid as could many other substances.

In FIG. **2** a cross section of an alternate form of thermometer implants is shown. Here the channel **11a**, which is enclosed by a thermometer body **15a** and which is terminated at one end by a bulb **12a** with a fluid **13a** contained in the bulb and the channel, is folded so that the length of the channel **13a** is increased greatly with little increase in the overall size of the thermometer body. A spiral-like folding is shown, but other foldings such as helical foldings and bellows foldings can be used.

In FIG. **3** a form of a thermometer implant with a trigger mechanism is shown. This form is adapted to applications where the temperature of the bulb at a target time must be measured but where, at the target time, an image of the fluid length is not projected outside the body. When the trigger mechanism is activated at the target time, then the trigger mechanism locks the fluid in the channel at the fluid length which indicates the temperature of the bulb at the target time. This locked fluid length can be measured at a later time by projecting an image of the fluid length outside of the subject body. This triggered form of thermometer implants can also be removed from the subject body well after the fluid length has been locked and the fluid length then measured outside of the body, because the fluid length is still indicating the target temperature of the bulb inside of the subject body at the target time when the fluid length was locked.

In the triggered form the thermometer body **15b** encloses a channel **11b** terminated at one end by a bulb **12b** with a fluid **13b** contained in the bulb and the channel. In one form of a trigger mechanism the bulb also comprises an escape chamber **112** connected to the main chamber of the bulb by an escape channel **111** so that the fluid in the main chamber can escape to the escape chamber when a seal **121**, which is sealed across the escape channel, is removed at a target time. When the fluid in the main chamber escapes, then the fluid in the channel **11b** remains in place at a length indicating the temperature of the bulb when the seal **121** was removed.

One form of a remotely activated trigger mechanism comprises a magnet **122** around the escape channel **111** which holds a ferromagnetic seal **121** with a ferromagnetic liquid sealant **123** in place, and an external coil (not shown) which, when energized, demagnetizes the magnet **122** so that the seal **121** and the sealant **123** move away from the escape channel.

In some cases it is desirable to block the path between the channel and the bulb as the seal **121** is opened. One way to do this is to provide a channel seal **132** which is attached to the thermometer body by an arm **131** and which is held open by a channel magnet **133**. When the channel magnet **133** is demagnetized by energizing the external coil, the arm **131**, which is biased to move the channel seal across the channel, moves the channel seal **132** into a seat **134** thus closing the path between the channel and the bulb main chamber.

A first triggered thermometer implant can be triggered by a first demagnetizing external magnetic field without triggering a second thermometer implant which can be triggered later by a second, larger demagnetizing external magnetic field. Thus, each of several triggered thermometers can be triggered selectively at subsequent times by energizing the external coil to increasing magnetic fields at subsequent times.

Other forms for a trigger mechanism will be obvious hereafter by people skilled in the art. For example, adding the well known pinch region to the channel will yield a triggered maximum thermometer implant.

In FIG. **2** another variation which can be incorporated into any of the forms a thermometer implant is shown. Here there is a channel portion **11'a** which has a smaller area than the remainder **11b** of the channel. A varying area along the channel changes the sensitivity of the thermometer implant accordingly. Thus, this thermometer implant could have maximum sensitivity around a critical value of temperature and have less sensitivity at other temperatures.

Along with a thermometer implant at least one sequent thermometer implant can be located in the subject body. Each of these several thermometer implants can be distinguished from the others by its spatial position relative to the others. Also, each of several thermometer implants can be identified by the set of identifying markers.

A set of markers **71** is shown in FIG. **1** attached to the thermometer body at the bulb end of the thermometer body and at locations away from the bulb. Sets of markers comprising various combinations of markers can be used as identifying sets of markers in order to distinguish one thermometer body from a sequent thermometer body. A marker in a set can also be used as a gauge marker to calibrate a projected image of the fluid.

The markers shown are depicted as knobs, but various other marking means can be used such as enclosing markers in the thermometer body. The bulb **12** can be given various shapes which can be distinguished and this can comprise a set of markers which can take the place of the set **71** shown.

A sequent thermometer body encloses a sequent channel like **11** which is terminated at one end by a sequent bulb like **12**. The sequent bulb and the sequent channel contain a sequent fluid like **13** which expands along the sequent channel to a sequent fluid length like **14** which is a function of a sequent target temperature of the

sequent bulb at a sequent target time, where the sequent target time could be the same as the target time.

An alternative multi-component form of a thermometer implant which has at least the elements of the basic form of a thermometer implant, shown in FIG. **1**, except that the set of markers may be left off, also encloses at least one sequent bulb and sequent channel containing a sequent fluid, with the sequent bulb being like **12**, the sequent channel being like **11**, and the sequent fluid being like **13** and expanding along the sequent channel to a fluid length like **14** functionally related to a sequent target temperature of the sequent bulb at a sequent target time which can be the same as the target time. When the multi-component thermometer is located in the subject body the several fluid lengths are measured outside of the body to determine the several temperatures of the bulbs.

A differential sub-form of the multi-component form of the thermometer implants shown in FIG. **4** is adapted to easily monitor variations in the temperature of abnormal tissue relative to adjacent healthy tissue. Here the thermometer body **15c** encloses the bulb **212** terminating the channel **211** and encloses the sequent bulb **312** terminating the sequent channel **311**, with the channel and the sequent channel together forming a contiguous channel.

A movable piston **214** - which can be accompanied by sealant **216** and **316** - rides in this contiguous channel dividing the expansion and contraction of the fluid from the expansion and contraction of the sequent fluid with a fluid length to sequent fluid length ratio at the target time being functionally related to a target temperature of the bulb to a sequent target temperature of the sequent bulb ratio at the target time.

When the fluid and the sequent fluid are gases, fluid properties and sequent fluid properties make acoustic imaging well adapted for projecting images of the fluid length and the sequent fluid length outside of the body. Markers **271** and **371** can be included so that the position of the piston **214** relative to the bulbs on an image projected outside of the body can be easily measured. The position of the piston can also be determined by measuring effects on an electric circuit outside of the body.

Other equivalent forms for the thermometers will be obvious hereafter to persons skilled in the art. Therefore this invention is not limited to the particular examples shown and described here.

CLAIMS

I claim:

1. Thermometer implants comprising a thermometer body, the thermometer body enclosing a channel and a bulb, the channel being terminated by the bulb at one end, the channel and the bulb containing a fluid, the fluid expanding and contracting along the channel to a fluid length which is functionally related to a target temperature of the bulb at a target time, the thermometer body being located in a subject body from where the fluid length is not visible at the target time, and thermometer body properties and fluid properties together making possible measurement of the fluid length outside of the subject body.

2. The device of claim 1 wherein at least one marker is located on the thermometer body.

3. The device of claim 1 further comprising a sequent thermometer body, the sequent thermometer body enclosing a sequent channel and a sequent bulb, the sequent channel being terminated at one end by the sequent bulb, the sequent bulb and the sequent channel containing a sequent fluid, the sequent fluid expanding and contracting along the sequent channel to a sequent thermometer fluid length which is functionally related to a sequent target temperature of the sequent bulb at a sequent target time, the sequent thermometer body being located in the subject body from where the sequent fluid is not visible at the sequent target time, and sequent thermometer body properties and sequent fluid properties together making possible measurement of the fluid length outside of the subject body.

4. The device of claim 1 wherein the thermometer body encloses a sequent channel and a sequent bulb, the sequent channel being terminated by the sequent bulb at one end, the sequent bulb and the sequent channel containing a sequent fluid, the sequent fluid expanding and contracting along the sequent channel to a sequent fluid length which is functionally related to a sequent target temperature of the sequent bulb at a sequent target time, the sequent fluid length being not visible at the target time, and sequent fluid properties making possible measurement of the sequent fluid length outside of the subject body.

5. The device of claim 1 wherein the channel has a varying area along the channel.

6. The device of claim **1** wherein the channel is folded.

7. The device of claim **1** wherein the subject body is in, and alternatively is intended for use in, a living human.

8. The device of claim **1** further comprising a trigger mechanism which is remotely activated and which locks the fluid length so that the fluid length does not change after the trigger mechanism is activated.

9. The device of claim **4** wherein the channel and sequent channel form a contiguous channel, the contiguous channel having a movable piston riding in the contiguous channel dividing the fluid from the sequent fluid with a fluid length to sequent fluid length ratio at the target time being functionally related to a target temperature to sequent target temperature ratio at the target time.

FIG. 1

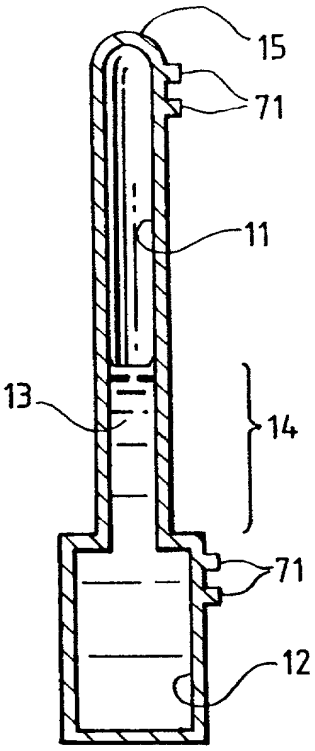


FIG. 2

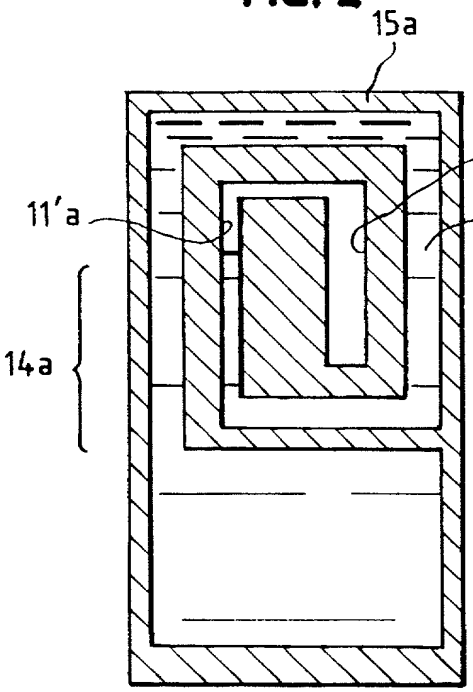


FIG. 3

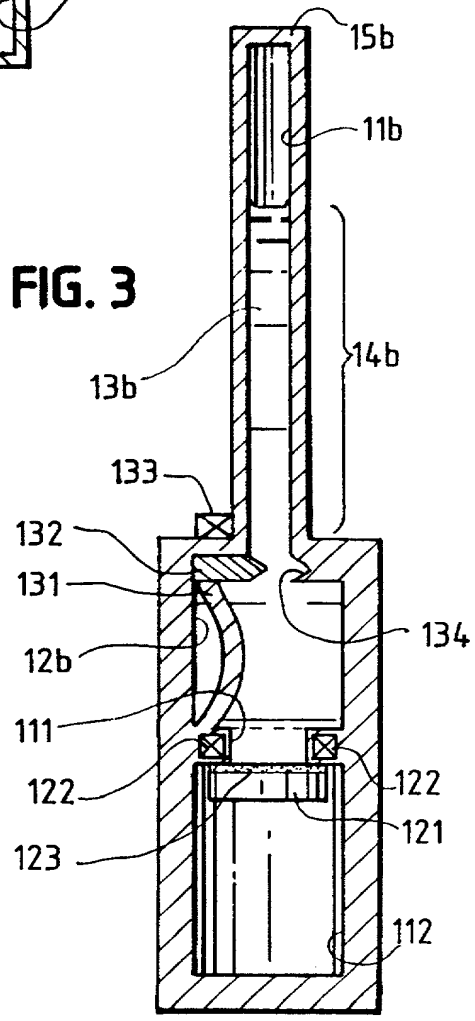
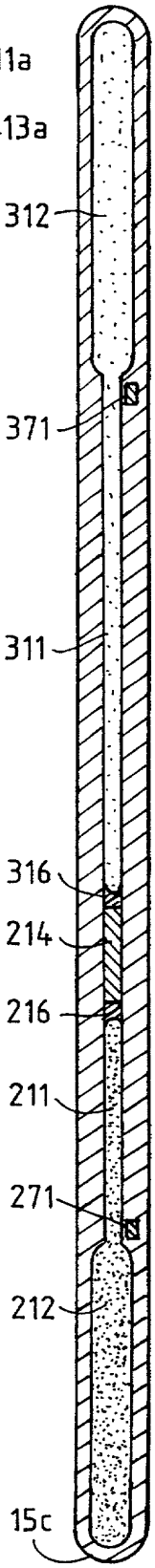


FIG. 4



PTO/SB/01A (10-00)
Approved for use through 10/31/2002. OMB 0651-0032
U S Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

As the below named inventor(s), I/we declare that:

This declaration is directed to:

☐ The attached application, or

☒ Application No. PCT/US99/15036, filed on 02 JUL 1999,

☐ as amended on _____(if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

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Signature: Leonard Reiffel Citizen of: USA

Inventor two: _____

Signature: _____ Citizen of: _____

Inventor three: _____

Signature: _____ Citizen of: _____

Inventor four: _____

Signature: _____ Citizen of: _____

☐ Additional inventors are being named on _____additional form(s) attached hereto.